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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> |  | Attorney Docket No. 240156US0DIV   |
|   |  | First Inventor or Application Identifier Catherine ROUGEOT   |
|   |  | Title THERAPEUTIC USE OF THE SMR1 PROTEIN AND ACTIVE DERIVATIVES THEREOF                                   |
|   |  | Assignee Name: Institut Pasteur<br>Assignee Address: 28, rue du Docteur Roux, 75724 Paris Cedex 15, France |

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|---|--|---|---|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents</small>   |  | Commissioner for Patents<br>ADDRESS TO: Mail Stop Patent Application<br>Alexandria, Virginia 22313  |   |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br><small>(Submit an original and a duplicate for fee processing)</small>                                      |  | <b>ACCOMPANYING APPLICATION PARTS</b>   |   |
| 2. <input checked="" type="checkbox"/> Specification Total Sheets 88  |  | 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))   |   |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 27   |  | 8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  |   |
| 4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages 3  |  | 9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement<br><small>(when there is an assignee)</small>  | <input type="checkbox"/> Power of Attorney                  |
| a. <input type="checkbox"/> Newly executed (original or copy)   |  | 10. <input type="checkbox"/> English Translation Document (if applicable)   |   |
| b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))<br><small>(for continuation/divisional with box 17 completed)</small>                             |  | 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449   | <input checked="" type="checkbox"/> Copies of IDS Citations |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br><small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</small> |  | 12. <input checked="" type="checkbox"/> Preliminary Amendment   |   |
| 5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   |  | 13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard   |   |
| 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br><small>(if applicable, all necessary)</small>   |  | 14. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small>  |   |
| a. <input type="checkbox"/> Computer Readable Form (CRF)  |  | 15. <input type="checkbox"/> Applicant claims small entity status.<br><small>See 37 CFR 1.27</small>  |   |
| b. Specification or Sequence Listing on :   |  | 16. <input checked="" type="checkbox"/> Other: Request for Priority; PCT/IB/304; International Search Report; Annex to Form PCT/ISA/206; IPER Annex (17 pp.; 50 claims) |   |
| i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or   |  |   |   |
| ii. <input type="checkbox"/> Paper  |  |   |   |
| c. <input type="checkbox"/> Statements verifying identity of above copies   |  |   |   |

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application no.: 09/367,703, filed October 13, 1999

Prior application information: Examiner: K. Canella

Group Art Unit: 1642

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

This application is a  Continuation     Division     Continuation-in-part (CIP)  
 of application Serial No. 09/367,703 Filed on October 13, 1999 (Pending), which is the National Stage of International Application No. PCT/EP98/00956, filed on February 19, 1998.  
 This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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| Name: Norman F. Oblon      | Registration No.: 24,618 |
| Signature:                 | Date: 7/17/03            |
| Name: Thomas M. Cunningham | Registration No.: 45,394 |

Docket No. 240156US0DIV

183  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

07/17/03  
INVENTOR(S) Catherine ROUGEOT, et al.

SERIAL NO: New Application

PPR  
FILING DATE: Herewith

SR  
THERAPEUTIC USE OF THE SMR1 PROTEIN AND ACTIVE DERIVATIVES THEREOF

**FEES TRANSMITTAL**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

| FOR  | NUMBER FILED | NUMBER EXTRA | RATE                        | CALCULATIONS |
|--|--------------|--------------|-----------------------------|--------------|
| TOTAL CLAIMS   | 27 - 20 =    | 7            | x \$18 =                    | \$126.00     |
| INDEPENDENT CLAIMS   | 3 - 3 =      | 0            | x \$84 =                    | \$0.00       |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)   |              |              | + \$280 =                   | \$0.00       |
| <input type="checkbox"/> LATE FILING OF DECLARATION                  |              |              | + \$130 =                   | \$0.00       |
|  |              |              | BASIC FEE                   | \$750.00     |
|  |              |              | TOTAL OF ABOVE CALCULATIONS | \$876.00     |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY |              |              |                             | \$0.00       |
| <input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE              |              |              | + \$130 =                   | \$0.00       |
| <input type="checkbox"/> RECORDATION OF ASSIGNMENT                   |              |              | + \$40 =                    | \$0.00       |
|  |              |              | TOTAL                       | \$876.00     |

- Please charge Deposit Account No. 15-0030 in the amount of **\$0.00** A duplicate copy of this sheet is enclosed.  
 A check in the amount of **\$876.00** to cover the filing fee is enclosed.  
 The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon  
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Date: 7/17/03



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